



PATENT
Attorney Docket: P04481US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: James K. Cushing et al.
SERIAL NO: 09/819,318
FILED: March 28, 2001
TITLE: Systems and Methods for Designing
Group Retirement Plans

COMPLETION OF FILING REQUIREMENTS

To the Assistant Commissioner for Patents
Washington, D.C. 20231

ATTN: Application Branch

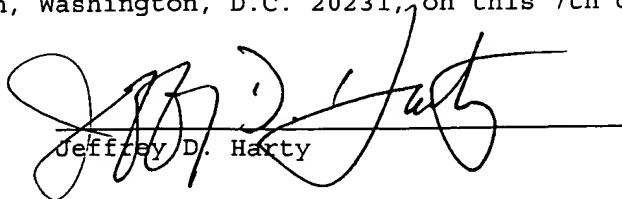
Dear Sir:

This replies to the Patent Office letter dated May 29, 2001. A copy of the Notice to File Missing Parts of Application -- Filing Date Granted (Form PTO-1533) is enclosed.

No original declaration with the signature of Kara M. Kohler was filed, and enclosed is the original declaration with this signature for completion of this application.

CERTIFICATE OF MAILING

I hereby certify that this document and the documents referred to as enclosed therein are being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Attn: Application Branch, Washington, D.C. 20231, on this 7th day of June, 2001.

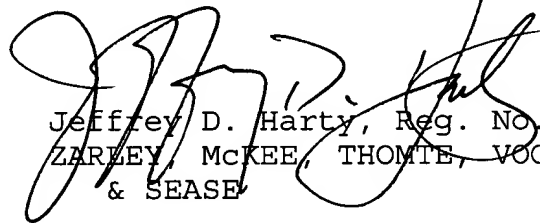

Jeffrey D. Harty

Attached is a statement that the "attached" specification is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

Also attached is the required fee of \$130 for a large entity to cover the late filing of original declaration under 37 CFR 1.16(e).

Please charge any underpayment or credit any over payment to Deposit Account No. 26-0084. A duplicate of this request is attached.

Respectfully submitted,

A large, stylized handwritten signature in black ink, likely belonging to Jeffrey D. Harty, is written over the typed name and firm name.

Jeffrey D. Harty, Reg. No. 40,639
ZARLEY, MCKEE, THOMTE, VOORHEES
& SEASE

Attorneys of Record

801 Grand Avenue, Suite 3200
Des Moines, Iowa 50309
515-288-3667 -rl-



See for \$/

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STATEMENT BY ATTORNEY THAT PAPERS ATTACHED TO
DECLARATION ARE A COPY OF THOSE FILED IN PTO TO GET
A FILING DATE

To the Assistant Commissioner for Patents
Washington, D.C. 20231

ATTN: Application Branch

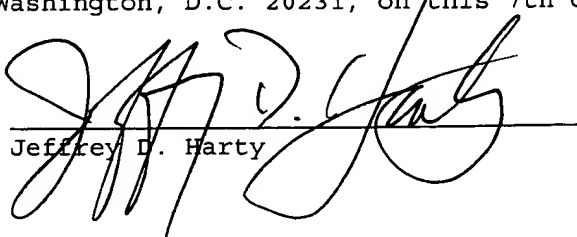
Dear Sir:

I, Jeffrey D. Harty of Zarley, McKee, Thomte, Voorhees &
Sease, 801 Grand Avenue, Suite 3200, Des Moines, Iowa 50309-
2721, Registration No. 24,741, Telephone (515) 288-3667, state
that I am the attorney for this application and that I have
reviewed the

[01] page of Abstract
[18] pages of Specification
[15] pages of claims
[14] sheet of drawings

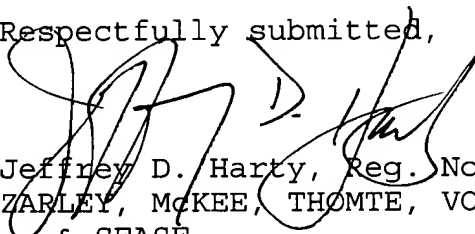
CERTIFICATE OF MAILING

I hereby certify that this document and the documents referred to as
enclosed therein are being deposited with the United States Postal Service
as First Class mail in an envelope addressed to: Assistant Commissioner for
Patents, Attn: Application Branch, Washington, D.C. 20231, on this 7th day
of June, 2001.


Jeffrey D. Harty

as shown in my files to be the papers filed in respect to the above identified application and have compared them to the papers attached to the declaration of Kara M. Kohler for **SYSTEMS AND METHODS FOR DESIGNING GROUP RETIREMENT PLANS** which accompanies this statement and I declare that these papers attached to the declaration are a copy of the specification and any amendment thereto which I filed in the PTO in order to obtain a filing date for this application by mailing to the PTO by Express Mail bearing Mailing Label Number EL688892363US on March 28, 2001.

Respectfully submitted,



Jeffrey D. Harty, Reg. No. 40,639
ZARLEY, McKEE, THOMTE, VOORHEES
& SEASE

Attorneys of Record

801 Grand Avenue, Suite 3200
Des Moines, Iowa 50309
515-288-3667 -rl-



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/819,318	03/28/2001	James K. Cushing	P04481US1

22885
ZARLEY MCKEE THOMTE VOORHEES & SEASE PLC
SUITE 3200
801 GRAND AVENUE
DES MOINES, IA 50309-2721

CONFIRMATION NO. 4370

FORMALITIES LETTER



OC000000006121514

Date Mailed: 05/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Kara M. Kohler
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

MTB
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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